

You're Invited

Center for Consumer Law: Second Annual Ethics and Compliance Symposium

June 6, 2013

Morgan Lewis



corpedia
An NYSE Euronext Company



About the Event

Ethics and compliance challenges affect an organization's performance, its reputation and, ultimately, its survival. This Symposium is meant to provide practical advice about real-world challenges that face ethics and compliance officers. Building on the topics from last year's inaugural symposium, this year the event will address a broad spectrum of topics ranging from data security and data privacy to operating in Latin America.

The Symposium has gathered an impressive faculty of ethics and compliance professionals from a diverse range of industries who will share not only their expertise but their hands-on experiences. In addition, the University of Houston will share data from its empirical Code of Conduct database throughout the day that will show how Fortune 500 companies are addressing topics such as facilitation payments, data privacy, social media, and political contributions.

This timely event will be valuable to both those who are new in the role of ethics and compliance and those who have spent years on the front lines.



Agenda

9:00 a.m.

Introduction and Welcome

Richard Alderman, University of Houston (Interim Dean, Director Consumer Law Center)

Ryan McConnell, Morgan Lewis (Partner)

9:10 a.m.

Keynote

George J. Terwilliger III, Former Deputy Attorney General and co-chair of Morgan Lewis's White Collar Litigation and Government Investigations Practice

9:30 a.m.

Analyzing Empirical Data: Historical Lessons & Current Trends

Renowned author and social scientist **Dr. Philip Tetlock** will discuss political risk forecasting using various data and Bayesian reasoning. Dr. Tetlock's framework will help companies understand how to accurately utilize external and internal data to create a risk based compliance program.

Dr. Philip E. Tetlock, University of Pennsylvania, author of Expert Political Judgment: How Good Is It and How Can We Know?

10:05 a.m.

Polling questions

10:10 a.m.

Latin American Compliance Focus

Latin America continues to present tremendous opportunities for U.S. companies. Listen to these expert discuss key risks and mitigation measures necessary for doing business in Latin America.

Moderator, **Alberto Orozco**, PwC (Director)

Luiz Cláudio Schleder Sampaio de Almeida, Petrobras (Coordinator of Transparency in the Petrobras Ombudsman Office)

Carlos Cortes-Simon, Executive Director of the Chilean Association of Natural Gas Distributors (AGN)

Frederick Ratliff, Shell Oil Co. (Senior Counsel, Anticorruption)

11:00 a.m.

Break

11:10 a.m.

Government Investigations

This panel of in-house experts will discuss best practices in responding to government inquiries, current enforcement trends, and how compliance programs evolve to address enforcement concerns.

Moderator, **Dianne Ralston**, Schlumberger (Deputy General Counsel)

Brady Long, EnSCO (Vice President & General Counsel)

Sherry Williams, Halliburton (Sr. Vice President, Chief Ethics and Compliance Officer)

Jay Martin, Baker Hughes (Vice President, Chief Compliance Officer & Sr. Deputy General Counsel)

12:00 p.m.

Lunch

12:10 p.m.

Polling questions

12:20 p.m.

Keynote

Lauren Stevens, former GlaxoSmithKline in-house lawyer who was accused of obstruction of justice by the DOJ will discuss her experience and how she prevailed at trial when the judge threw out the government's case.

Lauren Stevens, Former Vice President and Associate General Counsel for GlaxoSmithKline PLC.

1:00 p.m.

Compliance Challenges

Compliance is more than anticorruption and may cover topics ranging from food safety to data security. Come listen to this panel of experts, whose experience cuts across the Fortune 500, discuss the latest compliance challenges facing global companies.

Moderator, **Erica Salmon Byrne**, Corpedia, an NYSE Euronext Company (Executive Vice President, Compliance & Governance Solutions)

Andy Hinton, Google Inc. (Vice President, Global Ethics and Compliance)

Vanessa P. Rossi, Sysco Corporation (Sr. Director – Compliance & Enterprise Risk Management)

Shane Kimzey, CEVA Logistics (Deputy Chief Legal Officer)



Agenda

1:50 p.m.
Polling questions

2:00 p.m.
Privacy, Data Security and Theft of Trade Secrets

As technology and globalization emerge as defining business trends, companies must protect valuable intellectual property and private information. This panel will discuss best practices in data security and privacy and how to deal with intellectual property theft, including internal investigations and presenting information to the U.S. government for prosecution of theft of trade secrets.

Moderator, **Eric Morehead**, Corpedia, an NYSE Euronext Company (Sr. Compliance Counsel)
Gregory Parks, Morgan Lewis (Partner)
Mark McIntyre, U.S. Department of Justice (Assistant U.S. Attorney, Sr. Litigation Counsel)
Tim McInturf, Quantlab Financial (Executive Vice President and General Counsel)

3:00 p.m.
Break

3:10 p.m.
Third Party Risks: Nuts and Bolts of Agent and Business Partner Due Diligence

Third parties pose significant risk to companies ranging from corruption to trade controls. This panel will discuss best practices on contracting with third parties, how to mitigate third party risk, and other compliance considerations.

Moderator, **Karyl Van Tassell**, PwC (Partner)
David Searle, Baker Hughes (Audits & Investigations Counsel)
Brett Schrader, Quanta Services, Inc. (Deputy General Counsel)
Marie Wagner, Anadarko Petroleum Corp (Sr. Counsel)

4:00 p.m.
Polling questions

4:10 p.m.
Gifts and Hospitality

With the World Cup a year away, gifts and hospitality continue to pose significant anticorruption risk. This panel will discuss best practices in various industries to mitigate the risk of corruption related issues.

Moderator, **Jami McKeon**, Morgan Lewis (Partner)
Kevin McDonald, Marathon Oil (Chief Compliance Officer)
Sue Kean, McDermott International Inc. (Vice President, Deputy General Counsel & Chief Compliance Officer)
Margaret Mousoudakis, LyondellBasell (Sr. Compliance Counsel)

5:00 p.m.
Closing remarks

Eric Morehead, Corpedia, an NYSE Euronext Company (Senior Compliance Counsel)

5:20 p.m.
Happy Hour at University of Houston Law Center

Registration

To complete the electronic registration process please visit <http://www.regonline.com/Register/Checkin.aspx?EventID=1159700>

Continuing Legal Education:

Approval pending for 5.9 California, New York, and Texas CLE credits, including 1.80 ethics.

Fee to Attend:

US\$595. We ask that all registrations be submitted no later than Friday, May 31st, if possible.

Additional Information:

Additional information regarding the Symposium can be obtained by contacting the registration hotline at 713-743-4570.

Planning Committee:

Richard Alderman, Robert Johnson, Ryan McConnell, Eric Morehead, Charlotte Simon and Jay Martin.

The University of Houston is an EO/AA institution.



Parking Information

This symposium will be held at the University of Houston Law Center located at 100 Law Center, Houston, TX, 77204. Registration and a continental breakfast begin at 8:30am and the symposium will take place from 9:00 a.m. – 5:00 p.m. Complimentary parking will be available for the entirety of the event in the UH Law Center lot 19B, located directly next to the UH Law Center Bates Law Building (BLB). No parking permit will be needed for this lot only. After parking in the lot, walk inside of the UH Law Center Bates Law Building and follow the signs to room 144BLB.

