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Center for Consumer Law: First Annual Ethics and Compliance Symposium

June 7, 2012 | University of Houston Law Center

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About the Event

Ethics and compliance challenges affect an organization's performance, its reputation and, ultimately, its survival. This Symposium is meant to provide practical advice about real-world challenges that face ethics and compliance officers. From training, to monitoring and auditing, to specific emerging risks like export controls, the Symposium is meant to be an interactive and useful event for the practicing E&C professional.

The Symposium has gathered an impressive faculty of ethics and compliance professionals who will share not only their expertise but their hands-on experiences. In addition, Ethisphere will share data from its empirical Code of Conduct database throughout the day that will show how Fortune 500 companies are addressing topics such as facilitation payments, data privacy, social media, and political contributions.

This timely event will be valuable to both those who are new in the role of ethics and compliance and those who have spent years on the front lines.

Agenda

8:30am – 9:00am

Registration and Breakfast

9:00am – 9:10am

Introduction and Welcome

Richard Alderman, Associate Dean, Dwight Olds Chair, and Director of the Center for Consumer Law, University of Houston Law Center

Eric Morehead, Senior Compliance Counsel, Corpedia

Ryan McConnell, Partner, Baker & McKenzie

9:10am – 9:30am

Keynote

Paul McNulty, Former Deputy United States Attorney General
“What Enforcement Authorities Expect in a Company’s Compliance Program”

9:30am – 10:15am

What Enforcement Authorities Expect in a Company’s Compliance Program

Auditing E&C programs can be a difficult task. What are your risks? What do you measure? How do you measure it? This panel will explore the key elements and tools available for auditing E&C programs and discuss common pitfalls.

Doug Walter, Chief Compliance Officer, Phillips 66 (Moderator)

Mike Schwartz, Principal, KPMG LLP

Ramsey Pace, Director, Forensics and Litigation, FTI

Mike McConnell, Executive Director, Grant Thornton (external audit)

10:15am – 10:30am

Polling Questions and Break

10:30am – 11:15am

Training in the 21st Century

The world has changed—and your employees have changed—but what about your training? Are you taking advantage of technology and other resources to meet the needs of your employees and

address corporate challenges? Where can you focus training and communication to get the most “bang for the buck”? And what do regulators and other stakeholders expect you to do?

Eric Morehead, Senior Compliance Counsel, Corpedia (Moderator)

Steve Gyeszly, Director of Global Compliance, Weatherford

Margaret Mousoudakis, Senior Compliance Counsel, LyondellBasell

Ann Chilton, Global Compliance Officer, ERM,

Katie Zaunbrecher, Compliance Counsel, Baker Hughes

11:15am – 11:30am

Polling Questions and Break

11:30am – 12:15pm

Trade Control Compliance

In a global economy goods and services move across borders, sometimes multiple borders, with incredible frequency and speed. This panel will discuss enforcement trends and regulator policies that control the movement of your products and services and how you can work to insure that your enterprise is properly handling its export activities. The panel will also discuss best practices in export control compliance and revealing benchmarking data.

Ryan McConnell, Partner, Baker & McKenzie (Moderator)

Andrew Corley, Director of Trade Compliance, CEVA Logistics

Greg Herzog, Director of Trade Compliance, LyondellBasell

Wendy Schneider, Counsel, Exxon Mobil

12:15pm – 12:35pm

Polling Questions and Break for Lunch

12:35pm – 1:35pm

Lunch and Ethics and Compliance Officer’s Greatest Challenges

What should you be on the look-out for? Learn from experts about some common pitfalls many programs can fall into. Also hear how these compliance officers have made their role and ethics and compliance relevant to their respective organizations.

Jay Martin, VP, Chief Compliance Officer, and Senior Deputy General Counsel, Baker Hughes Incorporated (Moderator)

Dan Chapman, Chief Compliance Officer, Parker Drilling

Shane Kimzey, Deputy General Counsel and Chief Compliance Officer, CEVA Logistics

John Sardar, Chief Compliance Officer, Noble Energy

Daniel Trujillo, Director of Compliance, Schlumberger Ltd.

1:35pm – 1:50pm

Polling Questions and Break

1:50pm – 2:35pm

You gave what to the government official?” - Gift and Entertainment Policies and Practices

Regulating and accounting for gift and entertainment expenses is a difficult task in most organizations. These panelists will talk about trends and tools used to track, control and identify possible issues. They will also discuss the parameters to consider for G&E policies and relevant benchmarking data on G&E programs.

David Searle, Audits and Investigative Counsel, Baker Hughes Incorporated (Moderator)

Luis Derrotta, Associate General Counsel, Anadarko Petroleum

Kasandra Fehr, Global Anticorruption & Fraud Manager, Schlumberger Ltd.

Dan Sullenbarger, VP Corporate Compliance & Ethics, Marathon Oil

2:35pm – 2:50pm

Polling Questions and Closing Remarks

Jay Martin, VP, Chief Compliance Officer, and Senior Deputy General Counsel, Baker Hughes Incorporated

3:00pm – 5:00pm

Networking Happy Hour

Registration

UH Law Center, Center for Consumer Law: First Annual Ethics and Compliance Symposium

Please print and send completed registration form by PDF to alderman@uh.edu, or by mail to:
Attn: June 7 - First Annual Ethics and Compliance Symposium
Center for Consumer Law
University of Houston Law Center
100 Law Center
Houston, Texas 77204-6060

Continuing Legal Education:

Approved for 4.5 California, Illinois, and Texas CLE credits, including 1.75 ethics. Approved for 5.0 New York CLE credits, including 2.0 ethics. Baker & McKenzie LLP is a California and Illinois CLE approved provider. Baker & McKenzie LLP has been certified by the New York State CLE Board as an accredited provider in the state of New York for the period 12/12/09-12/11/12. This nontransitional program is not appropriate for newly admitted New York attorneys. Baker & McKenzie LLP is an accredited sponsor, approved by the State Bar of Texas, Committee on MCLE.

Fee to Attend:

US\$350 before May 25th, US\$450 after.

We ask that all registrations be submitted no later than Friday, June 1, if possible.

Additional Information:

Additional information regarding the Symposium can be obtained by contacting the registration hotline at 713 743 4570

Attendee Name: _____

Title: _____

Company: _____

Address: _____

Phone Number: _____

Fax: _____

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Payment Options:

Enclose your credit card information or a check payable to University of Houston Law Foundation to the email or address below, or call the registration hotline to pay via telephone.

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Parking Information

This symposium will be held at the University of Houston Law Center located at 100 Law Center, Houston, TX, 77204. Registration and a continental breakfast begin at 8:30am and the symposium will take place from 9:00am – 3:00pm. Complimentary parking will be available for the entirety of the event in the UH Law Center lot 19B, located directly next to the UH Law Center Bates Law Building (BLB). No parking permit will be needed for this lot only. After parking in the lot, walk inside of the UH Law Center Bates Law Building and follow the signs to room 144BLB.

